that this correspondence is being filed by depositing with the United States Postal Service as first in an envelope with sufficient postage and addressed to MS: No Fee Amendment, Commissioner of Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date indicated below.

PATENT

Paper No.

File: Graff-P1-03

April 20, 2007

Signed: Peter K. Trzyna (Reg. No. 92,601)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor

GRAFF, Richard A.

Serial No.

10/611,305

Filed

June 30, 2003

For

COMPUTER SUPPORT FOR VALUING AND TRADING

SECURITIES THAT PRODUCE MOSTLY TAX-EXEMPT

INCOME

Group Art Unit

3625

Examiner

MS: No Fee Amendment Commissioner of Patents P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL LETTER

SIR:

Transmitted herewith for filing in the above-identified patent application are the following:

> 1. Third Preliminary Amendment.

Applicant claims small entity status. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Ser. No. 10/611,305 Atty. Ref. Graff-P1-03 Art Unit 3625

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: April 20, 2007

Peter K. Trzyna (Reg. No. 32,601)

P.O. Box 7131 Chicago, IL 60680-7131 (312) 240-0824 I hereby cases that this correspondence is being filed by being filed by being filed by the United States Postal Service as first class mail in an envelope with sufficient postage and addressed to MS: No Fee Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

TENT

Paper No.

File: Graff-P1-03

Date: April 20, 2007

Signed: Peter Trzyna (Reg. No. 25011)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: GRAFF, Richard A.

Serial No. : 10/611,305

Filed : June 30, 2003

For : COMPUTER SUPPORT FOR VALUING AND TRADING

SECURITIES THAT PRODUCE MOSTLY TAX-EXEMPT

INCOME

Group Art Unit : 3625

Examiner

MS: No Foo Amondment

MS: No Fee Amendment Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

THIRD PRELIMINARY AMENDMENT

SIR:

Inventor

In the above-referenced patent application, please enter the following amendment and consider the application in view of the amendment and remarks set forth below. It is believed that no new matter has been added.

With respect to the present application, the Applicant hereby rescinds any disclaimer of claim scope made in the parent application or any predecessor or related application. The Examiner is advised that any previous disclaimer, if any, and the prior art that it was made to avoid, may need to be revisited. Nor should a disclaimer, if any, in the present application be read back into any predecessor or related application.